

Ninth Eternity Securities, LLC PRIVACY POLICY DISCLOSURE & CONSENT

Your privacy is important to us. Ninth Eternity Securities, LLC (“NES”) respects and protects the privacy of its clients and partners. Accordingly, NES has adopted a Privacy Policy to protect the confidentiality of your personal financial information. We are forwarding this disclosure statement to you to document our potential uses of your personal information. You may receive additional privacy statements from us or from our affiliates in the future.

NES’s Collection of Personal Information

In order to provide you with the best possible service and to meet our responsibilities to you, our other investment partners, and our business contacts, we may collect nonpublic personal information about you in the following ways: directly from you; from other NES entities; from your other financial or investment advisory firms; or from non-affiliated third parties. Information we collect may include your name, address, Social Security Number or tax identification number, financial account information, income, assets, net worth, account balances and account transactions, and personal or financial goals. NES associates and principals will have access to such information and may disclose the information as described below.

Disclosure of Your Personal Information to Affiliates in the NES Family of Firms

In the course of providing financial advisory services to you, we may disclose the information described above to our NES affiliates. We share information with our affiliates in order to provide you with the best possible service, to inform you of other services we believe you may desire to utilize, and to prevent fraud against you, other investors, or the NES family of firms. Please know that your information will remain secure at NES because all NES affiliates adhere to strict privacy procedures. You also have the right to explicitly opt out from receiving marketing solicitations from affiliates.

Disclosure of Your Personal Information to Non-Affiliated Third Parties

We may also disclose the personal information described above to third parties that are not affiliated with the NES family of firms. We would do this in order to execute the advisory services you have requested, investigate investment opportunities on your behalf, or for reasons otherwise permitted by law. We do not share personal information regarding former clients with non-affiliated third parties, however (unless we are required to do so by law).

Affirmative Consent and Opt-Out Required

You have the right not to agree to our uses of your personal nonpublic information. In order to comply with applicable laws and to protect you and our firm, we require affirmative consent from all individual clients to these uses and disclosures of client information. You also have the right to explicitly opt out from receiving marketing solicitations from affiliates, as disclosed above. It is our policy not to provide financial services unless clients consent to our privacy policies by signing, dating, and returning the attached Privacy Policy Consent form to us. Should you wish to receive a copy of our Privacy Policy or to discuss our privacy policies in greater detail, please do not hesitate to call our Chief Compliance Officer, Steve Solano at: 516-286-6888. Otherwise, if you are comfortable with our potential uses and disclosures of your personal information, **please execute and return the attached Privacy Policy Consent and Opt-Out form.**

We look forward to continuing to help you fulfill your personal and financial goals. Thank you.

PRIVACY POLICY CONSENT AND OPT OUT

I have received and reviewed NES's *Privacy Policy Disclosure, Consent & Opt-Out*. I consent to NES's uses and disclosures of information as described therein.

Mark any/all you want to limit:

- Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
- Do not allow your affiliates to use my personal information to market to me

Printed Name: _____

(Last, First, Middle)

Address: _____

(Street Address)

(Street Address cont.)

(City, State, Zip)

Signature: _____ Date: _____